



**Public Advocates Office**  
California Public Utilities Commission

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**PUBLIC ADVOCATES OFFICE DATA REQUEST**  
**No. CalAdvocates-AW-SCG-2020-06**  
**Not In A Proceeding**

**Date Issued: August 6, 2020**

**Date Due: August 20, 2020**

**To: Corinne Sierzant** Phone: (213) 244-5354  
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## INSTRUCTIONS<sup>1</sup>

### **General:**

You are instructed to answer the following Data Requests with written, verified responses pursuant to, without limitation, Public Utilities Code §§ 309.5(e), 311(a), 314, 314.5(a), 581, 582, 584, 701 and 702 and Rule 1.1 of the California Public Utilities Commission's Rules of Practice and Procedure within ten (10) business days. **Note that Public Utilities Code § 581 requires you to provide the information in the form and detail that we request and failure to do so may result in fines or other penalties.**

Each Data Request is continuing in nature. Provide your response as it becomes available, but no later than the due date noted above. If you are unable to provide a response by the due date, notify the Public Advocates Office within five (5) business days, with a written explanation as to why the response date cannot be met and a best estimate of when the information can be provided. **If you acquire additional information after providing an answer to any request, you must supplement your response following the receipt of such additional information.**

This data request does not diminish or excuse any pending written or oral data requests to you.

The Public Advocates Office expects you to respond to this data request in a timely manner and with the highest level of candor

### **Responses:**

**Responses shall restate the text of each question prior to providing the response, identify the person providing the answer to each question and his/her contact information, identify all documents provided in response to the question, and clearly mark such documents with the data request and question number they are responsive to.**

Responses should be provided both in the original electronic format, if available, and in hard copy. (If available in Word format, send the Word document and do not send the information as a PDF file.) All electronic documents submitted in response to this data request should be in readable, downloadable, printable, and searchable formats, unless use of such formats is infeasible. Each page should be numbered. If any of your answers refer to or reflect calculations, provide a copy of the supporting electronic files that were used to derive such calculations, such as Excel-compatible spreadsheets or

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<sup>1</sup> Because SoCalGas has routinely failed to comply with the Instructions provided in the data requests in this investigation, portions of these Instructions are highlighted to bring your attention to the Instructions. Cal Advocates' expects that you will comply with all of the Instructions, including those that are highlighted.

computer programs, with data and formulas intact and functioning. Documents produced in response to the data requests should be Bates-numbered, and indexed if voluminous.

**Requests for Clarification:**

If a request, definition, or an instruction, is unclear, notify the people listed above in writing within five (5) business days, including a specific description of what you find unclear and why, and a proposal for resolving the issue. In any event, unless directly otherwise by the people listed above, answer the request to the fullest extent possible, explain why you are unable to answer in full, and describe the limitations of your response.

**Objections:**

If you object to any of portion of this Data Request, please submit specific objections, including the specific legal basis for the objection, to the people listed above within five (5) business days.

**Assertions of Privilege:**

If you assert any privilege for documents responsive to this data request, please notify Cal Advocates of your intent to make such claims within five (5) business days, and provide a privilege log no later than the due date of this data request, including: (a) a summary description of the document; (b) the date of the document; (c) the name of each author or preparer; (d) the name of each person who received the document; and (e) the legal basis for withholding the document.

**Assertions of Confidentiality:**

If you assert confidentiality for any of the information provided, please identify the information that is confidential with highlights and provide a specific explanation of the basis for each such assertion. No confidential information should be blacked out. Assertions of confidentiality will be carefully scrutinized and are likely to be challenged absent a strong showing of the legal basis and need for confidentiality.

**Signed Declaration:**

The data response shall include a signed declaration from a responsible officer or an attorney under penalty of perjury that you have used all reasonable diligence in preparation of the data response, and that to the best of their knowledge, it is true and complete.

In addition, any claim of confidentiality or privilege shall be supported by a declaration from your attorney under penalty of perjury stating that your attorney is familiar with the relevant case law and statutes pertaining to claims of confidentiality and privilege such that there is a good faith basis for the claim.

## DEFINITIONS

- A. As used herein, the terms “you,” “your(s),” “Company,” “SCG,” and “SoCalGas” and mean Southern California Gas Company and any and all of its respective present and former employees, agents, consultants, attorneys, officials, and any and all other persons acting on its behalf, including its parent, Sempra Energy Company.
- B. The terms “and” and “or” shall be construed either disjunctively or conjunctively whenever appropriate in order to bring within the scope of these Data Requests any information or documents which might otherwise be considered to be beyond their scope.
- C. Date ranges shall be construed to include the beginning and end dates named. For example, the phrases “from January 1 to January 31,” “January 1 -31,” “January 1 to 31,” and “January 1 through January 31” should be understood to include both the 1<sup>st</sup> of January and the 31<sup>st</sup> of January. Likewise, phrases such as “since January 1” and “from January 1 to the present” should be understood to include January 1<sup>st</sup>, and phrases such as “until January 31,” “through January 31,” and “up to January 31” should also be understood to include the 31<sup>st</sup>.
- D. The singular form of a word shall be interpreted as plural, and the plural form of a word shall be interpreted as singular whenever appropriate in order to bring within the scope of these Data Requests any information or documents which might otherwise be considered to be beyond their scope.
- E. The term “communications” includes all verbal and written communications of every kind, including but not limited to telephone calls, conferences, notes, correspondence, and all memoranda concerning the requested communications. Where communications are not in writing, provide copies of all memoranda and documents made relating to the requested communication and describe in full the substance of the communication to the extent that the substance is not reflected in the memoranda and documents provided.
- F. The term “document” shall include, without limitation, all writings and records of every type in your possession, control, or custody, whether printed or reproduced by

any process, including documents sent and received by electronic mail, or written or produced by hand.

- G. “Relate to,” “concern,” and similar terms and phrases shall mean consist of, refer to, reflect, comprise, discuss, underlie, comment upon, form the basis for, analyze, mention, or be connected with, in any way, the subject of these Data Requests.
- H. When requested to “state the basis” for any analysis (including studies and workpapers), proposal, assertion, assumption, description, quantification, or conclusion, please describe every fact, statistic, inference, supposition, estimate, consideration, conclusion, study, and analysis known to you which you believe to support the analysis, proposal, assertion, assumption, description, quantification, or conclusion, or which you contend to be evidence of the truth or accuracy thereof.
- I. Terms related in any way to “lobbying,” lobbyist,” “lobbying firm” and “lobbyist employer” shall, without limitation, be construed broadly and, without limitation, to be inclusive of how those terms are described in the Sempra Energy Political Activities Policy (Policy) and the training materials related to the Policy.<sup>2</sup>

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<sup>2</sup> The Sempra Energy Political Activities Policy defines lobbying broadly on page 3 as: “any action intended to influence legislative or administrative action, including activities to influence government officials, political parties, or ballot measures. Lobbyists can be individual employees or the company that employees them, referred to as a Lobbyist-Employer.”

## DATA REQUEST

The following questions focus on SoCalGas' advocacy between January 1, 2013 and today to influence the California Energy Commission regarding its Energy Efficiency Standards, including advocacy related to heat pump water heaters. The "internal communications" referenced in this data request are attached to this email.

1. In internal SoCalGas communications, SoCalGas proposes two "Advocacy Strategies" regarding heat pump water heaters: "Option 1 / Staff Approach" and "Option 2 / Public Approach."<sup>3</sup>
  - a. Please describe any activities from the two options above that SoCalGas or its contractors undertook to lobby the California Energy Commission (CEC) on its Energy Efficiency (EE) Standards between January 1, 2013 and today.
  - b. Please describe any additional actions by SoCalGas or its contractors to lobby the CEC on its EE Standards between January 1, 2013 and today.
  
2. In internal SoCalGas communications, SoCalGas states "We are developing a coalition to counter the [Codes and Standards Enhancement] CASE recommendations."<sup>4</sup>
  - a. What is the name of the coalition referred to in this data request's (DR) Q. 2?
  - b. Describe any activities by SoCalGas or its contractors to form and/or support this coalition between January 1, 2013 and today.
    - Include any donations or other forms of payment provided to this coalition by SoCalGas or its contractors.
  - c. Describe any coalition activities SoCalGas or any of its contractors supported to lobby the CEC on its EE Standards between January 1, 2013 and today.
    - Describe the role of SoCalGas or its contractors in these activities.
  
3. In internal SoCalGas communications, SoCalGas describes an "Action Plan" including "External advocacy from AGA, APGA, Manufacturers and possibly builders, real estate organizations and community advocates."<sup>5</sup>
  - a. Describe any activities SoCalGas or its contractors conducted in its "external advocacy" (quoted from DR Q. 3) from other organizations regarding lobbying the CEC on its EE Standards between January 1, 2013 and today.

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<sup>3</sup> *Sierra Club and Natural Resources Defense Council Reply Comments on the Order Instituting Rulemaking Regarding Policies, Procedures and Rules for the Self-generation Incentive Program and Related Issues*, July 7, 2020, Attachment A, pp. 4-6.

<sup>4</sup> *Id.*, p. 10.

<sup>5</sup> *Id.*, p. 34.



6. With regard to the lobbying described in response to questions 1 -5, please identify:
  - a. Each date that such lobbying occurred;
  - b. The specific issues that the lobbying addressed;
  - c. All of the individuals who authorized the lobbying;
  - d. The name and title of each SoCalGas employee involved in the lobbying;
  - e. Any agent, consultant or firm engaged to support or participate in any manner with the lobbying; and
  - f. The total costs that SoCalGas has incurred in association with this lobbying.
  
7. With regard to the lobbying described in response to question 6, please provide:
  - a. Any contracts or other business agreements related to the lobbying;
  - b. Any invoices related to the lobbying, regardless of the status of such invoice; and
  - c. Any materials used to prepare for or presented during the lobbying.
  
8. Please disaggregate the costs identified in response to question 6 into the following categories:
  - a. Labor;
  - b. Travel, lodging, meals, and incidental travel expenses;
  - c. Consultant costs; and
  - d. Other.
  
9. Please identify each account to which any portion of the costs identified in response to question 6 were charged.
  - a. State the account name and cost center number;
  - b. State which portion of the payment is or will be booked to an above-the-line account (i.e. ratepayer funded) and which portion is or will be booked to a below-the-line account (i.e. shareholder funded);
  - c. State how much was charged to the account; and
  - d. Provide a narrative explanation for why the payments were assigned in the manner identified above.
  
10. Provide copies of all Work Order Authorizations where charges for the activities identified in this data request were accounted for.

**END OF REQUEST**

Note that Public Utilities Code § 581 requires you to provide the information in the form and detail that we request and failure to do so may result in fines or other penalties.