PUBLIC ADVOCATES OFFICE DATA REQUEST
No. CalAdvocates-TB-SCG-2020-04
Not In A Proceeding

Date Issued: June 30, 2020

Date Due: July 10, 2020

To: Corinne Sierzant
   Regulatory Affairs for SoCalGas
   Phone: (213) 244-5354
   Email: CSierzant@semprautilities.com

Elliott S. Henry
   Attorney for SoCalGas
   Email: EHenry@socalgas.com

Stacy Van Goor
   Sempra Energy
   Email: SVanGoor@sempra.com

Jason H. Wilson
   Outside Counsel for SoCalGas
   Email: jwilson@willenken.com
   Phone: 213.955.8020

From: Traci Bone
   Attorney for the
   Public Advocates Office
   Email: Traci.Bone@cpuc.ca.gov

Alec Ward
   Analyst for the
   Public Advocates Office
   Email: Alec.Ward@cpuc.ca.gov

Stephen Castello
   Analyst for the
   Public Advocates Office
   Email: Stephen.Castello@cpuc.ca.gov

Ratepayer Advocates in the Gas, Electric, Telecommunications and Water Industries
INSTRUCTIONS

General:

You are instructed to answer the following Data Requests with written, verified responses pursuant to, without limitation, Public Utilities Code §§ 309.5(e), 311(a), 314, 314.5(a), 581, 582, 584, 701 and 702 and Rule 1.1 of the California Public Utilities Commission’s Rules of Practice and Procedure within ten (10) business days. Note that Public Utilities Code § 581 requires you to provide the information in the form and detail that we request and failure to do so may result in fines or other penalties.

Each Data Request is continuing in nature. Provide your response as it becomes available, but no later than the due date noted above. If you are unable to provide a response by the due date, notify the Public Advocates Office within five (5) business days, with a written explanation as to why the response date cannot be met and a best estimate of when the information can be provided. If you acquire additional information after providing an answer to any request, you must supplement your response following the receipt of such additional information.

This data request does not diminish or excuse any pending written or oral data requests to you.

The Public Advocates Offices expects you to respond to this data request in a timely manner and with the highest level of candor

Responses:

Responses shall restate the text of each question prior to providing the response, identify the person providing the answer to each question and his/her contact information, identify all documents provided in response to the question, and clearly mark such documents with the data request and question number they are responsive to.

Responses should be provided both in the original electronic format, if available, and in hard copy. (If available in Word format, send the Word document and do not send the information as a PDF file.) All electronic documents submitted in response to this data request should be in readable, downloadable, printable, and searchable formats, unless use of such formats is infeasible. Each page should be numbered. If any of your answers refer to or reflect calculations, provide a copy of the supporting electronic files that were used to derive such calculations, such as Excel-compatible spreadsheets or computer programs, with data and formulas intact and functioning. Documents produced in response to the data requests should be Bates-numbered, and indexed if voluminous.

1 Because SoCalGas has routinely failed to comply with the Instructions provided in the data requests in this investigation, portions of these Instructions are highlighted to bring your attention to the Instructions. Cal Advocates’ expects that you will comply with all of the Instructions, including those that are highlighted.
Requests for Clarification:

If a request, definition, or an instruction, is unclear, notify the people listed above in writing within five (5) business days, including a specific description of what you find unclear and why, and a proposal for resolving the issue. In any event, unless directly otherwise by the people listed above, answer the request to the fullest extent possible, explain why you are unable to answer in full, and describe the limitations of your response.

Objections:

If you object to any portion of this Data Request, please submit specific objections, including the specific legal basis for the objection, to the people listed above within five (5) business days.

Assertions of Privilege:

If you assert any privilege for documents responsive to this data request, please notify Cal Advocates of your intent to make such claims within five (5) business days, and provide a privilege log no later than the due date of this data request, including: (a) a summary description of the document; (b) the date of the document; (c) the name of each author or preparer; (d) the name of each person who received the document; and (e) the legal basis for withholding the document.

Assertions of Confidentiality:

If you assert confidentiality for any of the information provided, please identify the information that is confidential with highlights and provide a specific explanation of the basis for each such assertion. No confidential information should be blacked out. Assertions of confidentiality will be carefully scrutinized and are likely to be challenged absent a strong showing of the legal basis and need for confidentiality.

Signed Declaration:

The data response shall include a signed declaration from a responsible officer or an attorney under penalty of perjury that you have used all reasonable diligence in preparation of the data response, and that to the best of their knowledge, it is true and complete.

In addition, any claim of confidentiality or privilege shall be supported by a declaration from your attorney under penalty of perjury stating that your attorney is familiar with the relevant case law and statutes pertaining to claims of confidentiality and privilege such that there is a good faith basis for the claim.
DEFINITIONS

A. As used herein, the terms “you,” “your(s),” “Company,” “SCG,” and “SoCalGas” mean Southern California Gas Company and any and all of its respective present and former employees, agents, consultants, attorneys, officials, and any and all other persons acting on its behalf, including its parent, Sempra Energy Company.

B. The terms “and” and “or” shall be construed either disjunctively or conjunctively whenever appropriate in order to bring within the scope of these Data Requests any information or documents which might otherwise be considered to be beyond their scope.

C. Date ranges shall be construed to include the beginning and end dates named. For example, the phrases “from January 1 to January 31,” “January 1-31,” January 1 to 31,” and “January 1 through January 31” should be understood to include both the 1st of January and the 31st of January. Likewise, phrases such as “since January 1” and “from January 1 to the present” should be understood to include January 1st, and phrases such as “until January 31,” “through January 31,” and “up to January 31” should also be understood to include the 31st.

D. The singular form of a word shall be interpreted as plural, and the plural form of a word shall be interpreted as singular whenever appropriate in order to bring within the scope of these Data Requests any information or documents which might otherwise be considered to be beyond their scope.

E. The term “communications” includes all verbal and written communications of every kind, including but not limited to telephone calls, conferences, notes, correspondence, and all memoranda concerning the requested communications. Where communications are not in writing, provide copies of all memoranda and documents made relating to the requested communication and describe in full the substance of the communication to the extent that the substance is not reflected in the memoranda and documents provided.

F. The term “document” shall include, without limitation, all writings and records of every type in your possession, control, or custody, whether printed or reproduced by any process, including documents sent and received by electronic mail, or written or produced by hand.

G. “Relate to,” “concern,” and similar terms and phrases shall mean consist of, refer to, reflect, comprise, discuss, underlie, comment upon, form the basis for, analyze, mention, or be connected with, in any way, the subject of these Data Requests.
H. When requested to “state the basis” for any analysis (including studies and workpapers), proposal, assertion, assumption, description, quantification, or conclusion, please describe every fact, statistic, inference, supposition, estimate, consideration, conclusion, study, and analysis known to you which you believe to support the analysis, proposal, assertion, assumption, description, quantification, or conclusion, or which you contend to be evidence of the truth or accuracy thereof.

I. Terms related in any way to “lobbying,” “Lobbyist,” “lobbying firm” and “lobbyist employer” shall, without limitation, be construed broadly and, without limitation, to be inclusive of how those terms are described in the Sempra Energy Political Activities Policy (Policy) and the training materials related to the Policy.  

2 The Sempra Energy Political Activities Policy defines lobbying broadly on page 3 as: “any action intended to influence legislative or administrative action, including activities to influence government officials, political parties, or ballot measures. Lobbyists can be individual employees or the company that employees them, referred to as a Lobbyist-Employer.”
DATA REQUEST

1. For every SoCalGas or Sempra Energy Company (Sempra) account identified in response to the questions below, please provide all journal entries and Journal Entry Request Forms from January 1, 2015 to the present. Because this data request is continuing in nature pursuant to the General Instructions above, going forward, as new Journal Entries are made to any of these accounts, or Journal Entry Request Forms are created, they should be provided to Cal Advocates pursuant to this data request within 10 business days of the journal entry being made. To the extent you claim attorney/client communication or attorney work product privilege for the Journal Entries or the Journal Entry Request Forms, please provide a privilege log consistent with the Instructions set forth above and no later than the due date of this data request.

2. Please provide the confidential version of SoCalGas’ 2019 GO77-M report, which should have been submitted to the CPUC on or before May 31, 2020. As this was an outstanding data request, please explain why it has not already been provided to Cal Advocates consistent with the continuing nature of data requests in this investigation.

3. Please list all account names and numbers that were excluded from Cal Advocates review of SoCalGas’ SAP system through the “custom software solution” described on pages 1 and 2 in SoCalGas’ May 22, 2020 substitute Motion to Quash.\(^3\)

SOCALGAS/SEMPRA FINANCIAL SUPPORT OF THIRD PARTIES

4. Please provide the following information available to Sempra and/or SoCalGas regarding the entity Bracewell LLP - https://bracewell.com/

   a. A narrative of the relationship between or among Sempra, SoCalGas, and the entity;
   b. The date and amount of any payments or donations made to the entity by Sempra and/or SoCalGas between January 1, 2015 and today.
   c. The Sempra and/or SoCalGas identification number for the entity;
   d. All contracts in effect at any time between January 1, 2015 and today between or among Sempra, SoCalGas and the entity, and all amendments and requisition requests;
   e. All invoices submitted by the entity and/or paid by Sempra and/or SoCalGas at any time between January 1, 2015 and today;

\(^3\) That Motion to Quash is entitled “‘Southern California Gas Company’s (U 904 G) Motion to Quash Portion of the Subpoena To Produce Access to Certain Materials in Accounting Databases and to Stay Compliance until the May 29th Completion of Software Solution to Exclude Those Protected Materials in the Databases (Not in a Proceeding).’”
f. All Work Order Authorizations related to payments made to the entity;
g. If the entity is/was a subcontractor to any Sempra and/or SoCalGas vendor, provide the name of that vendor and all of the information set forth in subsections (a) through (f) above.
h. If the entity is/was a charitable organization, please provide the date and amount of any donations made to the entity by Sempra and/or SoCalGas between January 1, 2015 and today.
i. For any payments to the entity:
   • The accounts where the payments were booked;
   • Identification of which portion of the payment is or will be booked to an above-the-line account (i.e. ratepayer funded) and which portion is or will be booked to a below-the-line account (i.e. shareholder funded); and
   • A narrative explanation for why the payments were assigned in the manner identified above.

5. Please provide the same information requested in Question 4 for each of the following entities:

   a. LB Consulting, Inc. – https://www.lbstrategicconsulting.com/
   b. Method Campaign Services - https://www.methodcampaigns.com/
   c. Act Now Los Angeles – previously at the website actnowla.org
   e. Willenken LLP - https://willenken.com/
   f. We Expect Clean Air Now (WECAN) – formerly linked to the COFEM website
   g. Council of Mexican Federations in North America (COFEM) - https://www.cofem.org/
   h. California Community Builders – https://www.ccbuilders.org/about/
   i. The Two Hundred - https://www.thetwohundred.org/
   k. Coalition for Clean Air - https://www.ccair.org/
   m. Western States Petroleum Association - https://www.wspa.org/
   n. Californians for Affordable and Reliable Energy (CARE) - https://www.careaboutenergy.org/about-us
   o. Californians for Balanced Energy Solutions (C4BES) - https://c4bes.org/

ACCOUNTING & ACCOUNTING POLICIES

6. Please provide a chart of all SoCalGas accounts that shows how each account is tracked to the FERC Uniform System of Accounts.
7. Please provide the instructions for IO_Form_503.xls, which prior SoCalGas data responses reflect are located on the SoCalGas and/or Sempra “Manuals & Forms” page of the Accounting and Finance Intranet website.

8. Please provide SoCalGas and/or Sempra documentation, including any policies or procedures, that explains what a Work Order Authorization is, what its purpose is, when one should be requested, and who approves one.

9. Please provide SoCalGas and/or Sempra documentation, including any policies or procedures, that explains what an Internal Order (IO) is, what its purpose is, when one should be requested, who approves one, and how an IO differs from a Work Order Authorization.

10. Explain whether it is common to have a Work Order Authorization effective date on a date before the Work Order Authorization is prepared and authorized, and if so, why.

11. Do SoCalGas and/or Sempra policies permit work to be performed without an approved Work Order Authorization? If so, please provide supporting documentation for this policy.

**BALANCED ENERGY WORK ORDER AUTHORIZATION**

12. In reference to the attached Balanced Energy Work Order Authorization provided to Cal Advocates, please:

   a. Explain what the number in the upper left hand corner represents – 300796601.
   b. Explain what the number in the upper right hand corner represents - 28322.000.
   c. Explain what the number under “FERC Account” – F920000G – means.
   d. Provide whatever SoCalGas and/or Sempra employee guidance exists that explains the types of activities or costs that are charged to “nonrefundable O&M.”
   e. Provide whatever SoCalGas and/or Sempra employee guidance exists that explains the types of activities or costs that are charged to FERC Account F920000G.
   g. Explain why the Balanced Energy Work Order Authorization was made effective 1/1/2019 but not created or approved until 3/21/2019.
   h. Explain how the “Company Labor” of $3,504,030 was calculated.
i. Provide any documents that were presented in support of the approval of the Balanced Energy Work Order Authorization.

j. Provide all updated versions of the Balanced Energy Work Order Authorization or any successors.

k. Provide all accounting instructions associated with the Balanced Energy Work Order Authorization.

l. Provide all Journal Entry Request Forms in which the Balanced Energy IO (IO 300796601) appears as either a debit or credit.


   a. Please provide any SoCalGas or Sempra documentation that describes this team.
   b. Please identify the members of the team by year for each year from January 1, 2015 to the present.
   c. Please identify all budgets allocated to the team by year for each year from January 1, 2015 to the present.
   d. Please identify all cost centers where work performed by or for the team is booked.

100% SHAREHOLDER-FUNDED CONTRACTS AND ACTIVITIES

14. For each “100% shareholder-funded” contract (as that term is used in SoCalGas’ Motion for Reconsideration 4) please provide:

   a. The contract and any amendments and requisition requests;
   b. The Work Order Authorization;
   c. All account numbers where costs of the contract are booked;
   d. The name and identification number of all vendors whose costs are charged to SoCalGas or Sempra under the contract;
   e. Any other legal agreements between or among SoCalGas and/or Sempra and the vendors who costs are charged to the contract.

---

4 That Motion for Reconsideration was served December 2, 2019 and is entitled: “Southern California Gas Company’s (U 904 G) Motion For Reconsideration/Appeal To The Full Commission Regarding Administrative Law Judge’s Ruling In The Discovery Dispute Between Public Advocates Office And Southern California Gas Company, October 7, 2019 (Not In A Proceeding).”
15. For all 100% shareholder-funded activities that are the subject of SoCalGas First Amendment arguments in its Motion for Reconsideration (Activities) please provide:

   a. Any contract, amendments or requisition requests relating to the Activities;
   b. The Work Order Authorization;
   c. If no contract exists, a narrative description of the Activities;
   d. All account numbers where costs for the Activities are booked;
   e. The name and identification number of all vendors paid for the activities by either SoCalGas or Sempra;
   f. Any other legal agreements between or among SoCalGas and/or Sempra and the vendors who perform the Activities.

GEORGE MINTER AND KENNETH CHAWKINS

16. When did George Minter begin working for SoCalGas and/or Sempra and when did his employment terminate?

17. Please identify Mr. Minter’s titles and explain his duties while employed for SoCalGas and/or Sempra between January 1, 2015 and his termination.

18. Please provide Mr. Minter’s current contact information, including home address, phone number, and email.

19. CalAdvocates-AW-SCG-2020-01 Q21 asked “Has SoCalGas contracted with or begun the process to establish a contract with George Minter or an organization that represents George Minter? If yes, please provide the following:…. ” SoCalGas responded “No.” However, Cal Advocates was advised that Mr. Minter represented himself as a consultant to SoCalGas as recently as May, 2020. Please confirm Mr. Minter’s current employment status with SoCalGas.

20. When did Kenneth Chawkins begin working for SoCalGas and/or Sempra and when did his employment terminate?

21. Please identify Mr. Chawkins’ titles and explain his duties while employed for SoCalGas and/or Sempra between January 1, 2015 and his termination.

22. Identify all SoCalGas and Sempra employees who were briefed by either George Minter or Ken Chawkins between January 1, 2015 and today on the creation or purpose of Californians For Balanced Energy Solutions (C4BES) or SoCalGas’ relationship to C4BES.
23. Please provide the names and titles of the persons who are now performing the work previously performed by George Minter and Ken Chawkins.

**BATES STAMPED DOCUMENTS PROVIDED TO SOCALGAS 3/11/20**

24. Refer to PAO-0000001 and 0000002, which are the first two pages of the collection of 209 pages of Bates-stamped documents provided to SoCalGas by Cal Advocates on March 11, 2020, for removal of all unsupported confidentiality designations. Regarding those two pages (referred to as “Document” here), please provide:

   a. A narrative explanation of what the Document represents.
   b. The date that that the Document was created. If a specific date is not available, please provide an approximation.
   c. All versions of the Document that exist from both before and after the date of the version in the 209 pages of Cal Advocates documents.
   d. Explain whether the Document is an excerpt from a larger document. If so, please provide all other information that comprised the entire document.
   e. Define the term “PAM” which is the heading for the second to last column of the Document.
   f. For each SoCalGas employee identified under the "PAM" column in the Document, please provide:

      • The full name of the employee and their title at the time the Document was created;
      • The amount of time the employee spent on activities related to C4BES, including discussion of C4BES with members of the business community and any supporting documentation, such as accounting or time entry documentation.
      • Explain whether work performed by a PAM would be allocated to above-the-line or below-the-line accounts, or a combination, and the rational for such allocations.
      • Identify if any employee time was recorded to shareholder accounts (at any point) for activities related to C4BES for any of these employee, and if so, the accounts where the time is recorded.

**IMPRENTA COMMUNICATIONS GROUP**

25. SoCalGas’ April 24, 2020, response to Question 3 of Data Request CalAdvocates-SC-SCG-2019-11 states that Imprenta Communications’ invoices that SoCalGas produced in response to the data request as “Exhibit A” “were not paid by SoCalGas,
and it is unclear whether or not SoCalGas is even ultimately responsible for payment.” Please:

a. Explain why SoCalGas believed that it was “unclear whether or not SoCalGas is even ultimately responsible for payment;”

b. Provide documentation that supports SoCalGas’ claim that it was not responsible for payment of the invoices, including any documentation provided to Imprenta to support SoCalGas’ claim;

c. Identify who, if anyone, paid these Imprenta Invoices; and

d. If these invoices were not paid, was Imprenta compensated in any other manner for the work described in the invoices?

END OF REQUEST
WORK ORDER AUTHORIZATION FOR SEMpra ENERGY UTILITIES

<table>
<thead>
<tr>
<th>COMPANY CODE</th>
<th>WORK ORDER No.</th>
<th>20X</th>
</tr>
</thead>
<tbody>
<tr>
<td>THOMAS BRUS.</td>
<td>WGS/PS Number:</td>
<td>120%</td>
</tr>
<tr>
<td>RECEIVED Date</td>
<td>2019-02-28</td>
<td>Order 1</td>
</tr>
</tbody>
</table>

RESponsible COst Center: 2202-2204

ORGANIZATION: Customer Service

COUNTRY: Los Angeles

MUNICIPALITY: Bitwise to: Attitude |

TECHNICAL/ECONOMIC PROJECT REVIEWS

- Legal
- Design
- Accounting
- Planning

Comments regarding Technical/Economic Project Review:

JOB SCOPE SUMMARY

This is an SD for tracking purposes, set up to track costs associated with BALANCED ENERGY expenditures within the ENERGY POLICY & STRATEGY team.

All costs should be nonrefundable C&M.

CODE | DETAILED DESCRIPTION OF WORK | REVENUE ACCOUNT | % | Allowable Cost Limit (theoretical) |

- F101900

Charging Cost Category to this Work:

RECEIVED

MAR 28 2019

By

APPROVALS

Project Approval:

- PG&E
- CFS
- M&A

TOTAL ESTIMATED COSTS:

Capital Installation | Capital Removal | O&M | Total

Company Labor | $ | $ | $ | $
Contract Costs | $ | $ | $ | $
Material | $ | $ | $ | $
Other Direct Costs | $ | $ | $ | $
Total Direct Cost | $ | $ | $ | $
Wage Transfer in Costs | $ | $ | $ | $
Labor Indirects | $ | $ | $ | $
Manager Indirects | $ | $ | $ | $
Other Indirects | $ | $ | $ | $
Indirects (Over O&M budget) | $ | $ | $ | $
VAPC | $ | $ | $ | $
Total Indirect Cost | $ | $ | $ | $

Gross Expenditures | 2018 | 2019 | 2020 | 2021 | 2022 | 2023

% by Year: 17% 17% 21% 21% 22%